

INVESTOR RESPONSE FORM

Re: COMMODITY FUTURES TRADING COMMISSION

Plaintiff,

v.

ALAN JAMES WATSON, MICHAEL POTTS, AND CASH FLOW
FINANCIAL, LLC, et al.

Defendants,

Case No. 11-cv-10949
Hon. Lawrence P. Zatkoff
United States District Court
Eastern District of Michigan, Southern Division

To: Phillip S. Stenger, Receiver
Stenger & Stenger, P.C.
4095 Embassy Dr., S.E. Ste. A
Grand Rapids, MI 49546
Toll Free No. (877) 512-2483
Telephone No. (616) 264-3256
Fax No. (616) 940-1192
Email: cffreceiver@stengerlaw.com
Web Site: www.cffreceiver.com

Guidelines for Completing This Form:

1. If you wish to file a claim with the Receiver you must complete and submit this form, with relevant documentation, to the Receiver at the address shown above.
2. *Please complete and return the Investor Response Form even if you have already completed a Claim Form for the Trade LLC receiver.*
3. Attach copies of all records that support your claim: do not send your originals to the Receiver.
4. ***Initial each page.***
5. If a particular item does not apply to you specifically, write "not applicable." If you do not know the answer to a particular item, write "not known." **Do not leave a question blank.**
6. The Response Form must be signed and dated by you in the space provided on the final page. **If you made an additional investment, jointly, with another individual, or on behalf of another individual, please return a SEPARATE Response Form for that investment. If there are Co-Investors, each Investor must sign the form.**
7. We ask that you complete and return this Investor Response Form within 30 days of your receipt of Investor Response Form.
8. Correspondence concerning your claim should be addressed to Stenger & Stenger, attorneys for the Receiver, at the address shown above for the Receiver. The firm of Stenger & Stenger is a legal firm for the Receiver and cannot give you personal legal advice concerning your own claim, such advice must come from your own attorney or other advisor. Likewise, information communicated by you to Stenger & Stenger is not confidential and will be utilized by the Receiver in administering the Receivership Estate, including the resolution of your claim. In the interest of reducing expense to the Receivership Estate so that distributions to the Investors can be maximized, all such communications should be in writing.
9. This Response Form consists of 8 pages. If you did not received all 8 pages, please notify Stenger & Stenger at the address shown above. **Please be sure to return all 8 pages of the Response Form, along with any other requested documentation.**
10. The Receiver reserves the right to request additional information from you.
11. Remember, **this Response Form is submitted by you under penalties of perjury!** If you have any questions concerning how to complete this form, consult your own attorney or other independent advisor. ***The Receiver recommends that you secure such independent advice.***

Please Initial: _____

Investment Information

1. I/We have invested in Cash Flow Financial, LLC, aka CFF-LLC, CFF-LLC Investment Club, and/or CFF-LLC Club (“CFF”), as follows (attach additional sheets if necessary):

CFF Account Number	CFF Investment		Method of Payment*	Paid To**
	Date	Amount		
Totals				

PLEASE ATTACH PROOF OF INVESTMENT(S) INCLUDING CANCELLED CHECKS, CONTRACTS, AGREEMENTS, NOTES OR ANY OTHER EVIDENCE.

*Please indicate method of payment: Cash, Check, Credit Card, Wire Transfer, Cashier's Check, Money Order, roll over from other investment, including but not limited, to Safevest LLC, etc.)
 If other, please explain _____

**Please name payee on check or recipient of wire transfer or other payment.

2. a. If more than one person invested in CFF, how is the investment held (i.e. joint ownership with rights of survivorship, tenants in common)? _____

b. If ownership is tenants in common, what is the percentage of ownership of each investor? _____

c. If ownership is tenants in common, have you named a beneficiary for the investment in any documentation? _____ If so, who? _____

3. a. Please indicate the name of the individual(s) who solicited your investment(s):

b. Provide any contact information you may have for this individual including name of company, if any, address, phone and/or email:

Please Initial: _____

4. a. Did you solicit any investments on behalf of CFF or in any way act as an Executive Club Member ("ECM") on behalf of CFF? Yes No

b. If Yes, provide the names and contact information for those investors whom you solicited.

c. Did you receive any commissions or other compensation of any nature from CFF?

Yes No If Yes, please include in the table below.

5. Did you receive any distributions from your investment in the form of interest, return on principal or any other type of distribution? _____ (Yes or No)

If Yes, please complete the following information: (attach additional sheets if necessary)

CFF Account Number	Date of Distribution	Amount of Distribution	Form of Payment Received *	ECM Commissions

***Please indicate method of payment: Cash, Check, Credit Card, Wire Transfer, Cashier's Check, Money Order or Other) and attach proof of any payments**

6. Have you received any other monies or anything else of value from the defendants, or anyone acting on their behalf, in regards to your investment in CFF? Yes No

If Yes, please explain:

7. Have you sued, threatened suit, or currently have a lawsuit pending against any of the defendants, or any other third party regarding your investment in CFF? Yes No

If Yes, please provide details including, case name, location, date filed, disposition and amounts of any monies received:

Please Initial: _____

8. Are you related¹ to any of the named defendants (see case caption on first page) in this matter or any former employee of CFF or ECM? Yes No

If Yes:

a. To whom and what is the relationship? _____

b. Have you ever occupied the same residence as this person? Yes No

c. Have you ever received from or given financial support of any type, including loans, gifts of property, or financial assistance of any type to this person? Yes No

If Yes, please provide details _____

d. Did this person provide any portion of your investment, directly or indirectly, including by loan or other financial assistance? Yes No

If Yes, please provide details _____

e. If your relationship is with an entity, are you an officer, director, manager, partner, shareholder or other equity holder, employee, consultant, advisor or controlling person of such entity? Yes No

If yes, please provide details _____

9. If you invested through an entity (e.g., a corporation, general or limited partnership, limited liability company, trust, etc.):

a. List your officers, directors, managing partners, managers, key employees, consultants, advisors, trustee(s) and/or controlling persons:

¹A related party would included: Family members (blood or marriage); Entities such as corporations, trusts, and partnerships in which you (or any of your family members) were officers, directors, general partners, trustees, beneficiaries, equity owners, otherwise place a significant role or held a significant position; and if you, yourself, are a "legal entity" (corporation, trust, partnership, etc.) rather than a "natural person", your related parties would include your own officers, directors, general partners, trustees/beneficiaries, equity owners, etc.

Please Initial: _____

Name	Position

b. List your shareholders, partners, members and other direct and indirect equity owners, including any beneficial interest owners, and the percentage ownership of each:

Name	Ownership

ATTACH ADDITIONAL PAGES IF NECESSARY

PLEASE ATTACH PROOF OF INVESTMENT(S) INCLUDING CANCELLED CHECKS, CONTRACTS, AGREEMENTS, NOTES OR ANY OTHER EVIDENCE.

Please Initial: _____

By Signing My/Our Name Below, I/We Acknowledge and Affirm that:

- **I/we will supplement this Response Form if any information given later becomes inaccurate or incomplete.**
- **I/we acknowledge that I/we am/are an Investor in Cash Flow Financial, LLC and/or one of the entities implicated in the Ponzi Scheme.**
- **I/we hereby affirm that the answers provided herein are truthful.**
- **I/we understand that this Response Form is submitted under penalties of perjury.**

PLEASE COMPLETE AND RETURN ALL 8 PAGES OF THIS INVESTOR RESPONSE FORM AND ATTACH PROOF OF INVESTMENT(S) INCLUDING CANCELLED CHECKS, CONTRACTS, AGREEMENTS, NOTES OR ANY OTHER EVIDENCE.

Please sign and date below and forward this **Investor Response Form** to the Receiver by mail at 4095 Embassy Drive, SE, Grand Rapids MI 49546; by fax to (616) 940-1192; or by email to CFReceiver@stengerlaw.com.

Dated: _____

Signature: _____ (*First Investor*)

Print Name: _____

Print Title (if applicable): _____

Dated: _____

Signature: _____ (*Second Investor*)

Print Name: _____

Print Title (if applicable): _____